



09-10-04

1FW

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|   |                        |                       |
|---|------------------------|-----------------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number     | 10/827,452            |
|   | Filing Date            | 4-19-2004             |
|   | First Named Inventor   | James S. Hooper et al |
|   | Art Unit               |                       |
|   | Examiner Name          |                       |
| Total Number of Pages in This Submission  | Attorney Docket Number | 5046.3020.002         |

| ENCLOSURES (Check all that apply)  |  |  |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosure(s) (please identify below): |
| Remarks  |  |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual name                    | John F. Learman<br>Reising, Ethington, Learman |
| Signature                                  |  |
| Date                                       | September 9, 2004                              |

| CERTIFICATE OF TRANSMISSION/MAILING   |                     |      |                   |
|---|---------------------|------|-------------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |                     |      |                   |
| Typed or printed name   | KIRSTEN L. THORNTON |      |                   |
| Signature   |                     | Date | SEPTEMBER 9, 2004 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: JAMES S. HOOPER, ET AL. (MAGLINE)

Serial No.: 10/827,452

Filed: 04/19/2004

Group Art Unit:

For: COVERED CART ASSEMBLY AND METHODS OF ASSEMBLING AND  
MAKING THE ASSEMBLY

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Applicant herewith submits patents and/or publications of which he is aware and believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to the above, it is not intended to constitute an admission that any patent, publication and/or other information referred to herein is "prior art" for this invention unless specifically designated as such.

Applicant has identified references in the attached Form PTO-1449 and has provided copies as required by 37 C.F.R. § 1.98(a)(2).

---

CERTIFICATION 37 C.F.R. 1.8a and 1.10  
(Express Mail Label number is mandatory)  
(Express Mail certification is optional).

I hereby certify that, on the date shown below, this correspondence is being:

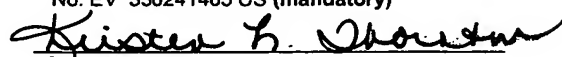
X deposited with the United States Postal Service, in an envelope addressed to the Commissioner for Patents,  
P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. 1.8a

with sufficient postage as first class mail.

37 C.F.R. 1.10

x as "Express Mail Post Office to Addressee" Mailing  
Label  
No. EV 336241465 US (mandatory)

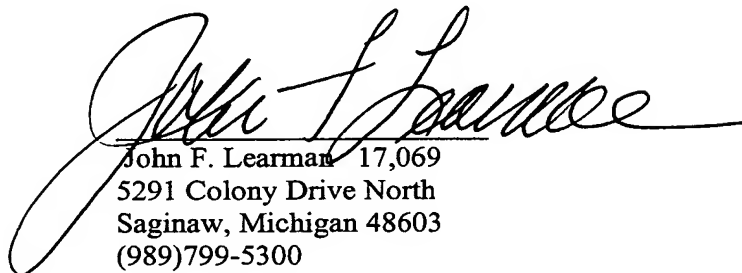
  
Signature  
Kirsten L. Thornton

Date: September 9, 2004

It is believed that no fee is due with the submission at this time; however, if the Patent Office determines otherwise, it is hereby authorized and respectfully requested that it be charged to our Account No. 50-0852.

Respectfully submitted,

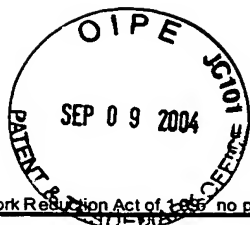
REISING, ETHINGTON, BARNES,  
KISSELLE, & LEARMAN, P.C.



John F. Learman 17,069  
5291 Colony Drive North  
Saginaw, Michigan 48603  
(989)799-5300

Dated: September 9, 2004

*kl*



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

|                        |                       |
|------------------------|-----------------------|
| Application Number     | 10/827,452            |
| Filing Date            | 4-19-2004             |
| First Named Inventor   | James s. Hooper et al |
| Art Unit               |                       |
| Examiner Name          |                       |
| Attorney Docket Number | 5046.3020.002         |

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages Columns Lines Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|--|
|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |  |
|                    |                       | US- 942,580                              | 12/1909                        | McGregor et al.                                    |  |
|                    |                       | US- 1,957,656                            | 5/1934                         | Langenkamp et al.                                  |  |
|                    |                       | US- 3,834,756                            | 9/1974                         | Grell  |  |
|                    |                       | US- 4,094,526                            | 6/1978                         | Clark et al.                                       |  |
|                    |                       | US- 4,244,411                            | 1/1981                         | Karlstrom et al.                                   |  |
|                    |                       | US- 4,948,154                            | 8/1990                         | Guggenheim   |  |
|                    |                       | US- 4,954,384                            | 9/1990                         | Hartwell   |  |
|                    |                       | US- 5,090,725                            | 2/1992                         | Feldner  |  |
|                    |                       | US- 5,190,305                            | 3/1993                         | Putman   |  |
|                    |                       | US- 5,199,729                            | 4/1993                         | Sievert et al.                                     |  |
|                    |                       | US- 5,255,765                            | 10/1993                        | Schrecongost                                       |  |
|                    |                       | US- 5,484,150                            | 1/1996                         | Yasutomi   |  |
|                    |                       | US- 5,487,551                            | 1/1996                         | Kennedy  |  |
|                    |                       | US- 5,626,241                            | 5/1997                         | Holden   |  |
|                    |                       | US- 5,749,589                            | 5/1998                         | Hopkins, et al.                                    |  |
|                    |                       | US- 5,875,904                            | 3/1999                         | Vorstenbosch                                       |  |
|                    |                       | US- 6,206,385                            | 3/2001                         | Kern et al.  |  |
|                    |                       | US- 6,260,566                            | 7/2001                         | LaFave et al.                                      |  |
|                    |                       | US- 6,299,184                            | 10/2001                        | Krawczyk   |  |

**FOREIGN PATENT DOCUMENTS**

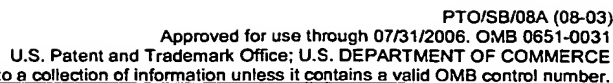
| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages Columns Lines Where<br>Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|---|--------------------------------|--|--|----------------|
|                    |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                |  |  |                |
|                    |                       |   |                                |  |  |                |
|                    |                       |   |                                |  |  |                |
|                    |                       |   |                                |  |  |                |
|                    |                       |   |                                |  |  |                |
|                    |                       |   |                                |  |  |                |
|                    |                       |   |                                |  |  |                |

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609.9. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [uspto.gov](http://uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document by the 1-o-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Substitute for form 1449/PTO

*(Use as many sheets as necessary)*

**Complete if Known**

|                        |                      |
|------------------------|----------------------|
| Application Number     | 10/827,452           |
| Filing Date            | 4-19-2004            |
| First Named Inventor   | James s. Hooper et a |
| Art Unit               |                      |
| Examiner Name          |                      |
| Attorney Docket Number | 5046.3020.002        |

|       |   |    |   |
|-------|---|----|---|
| Sheet | 2 | of | 2 |
|-------|---|----|---|

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup>Applicant's unique citation designation number (optional). <sup>3</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>4</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>7</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*